



Risk Advisory and Compliance Practice Overview

In today's fast-paced environment, increased scrutiny by shareholders, boards of directors, regulators and lenders, has made the Internal Audit function critically important. Finding experienced and qualified auditors has become increasingly difficult as the demand for auditors has surged. Additionally, developing and maintaining a staff with the breadth of expertise required to handle all potential issues your organization might face can become cost-prohibitive.

CRC can provide solutions for all your Internal Audit organization's needs, whether you are considering a full-outsourcing of the Internal Audit function, supplementing your existing organization through a co-sourcing arrangement, or seeking a partner to work with you on an individual project basis.



Internal Audit

Flexibility and experience to manage and direct your entire audit function or furnish one-time subject matter expertise for individual audit projects.

- **Full outsourcing**—We independently execute your entire internal audit process and report to your senior management team and/or board of directors.
- **Co-sourcing**—We work with your in-house team to assist in developing and/or executing your annual internal audit plan.
- **Project-based**—We deliver on a discrete project, based on a plan approved by your internal audit organization's management team.
- **Leadership**—Our senior managers lead and provide training to your in-house staffers.
- **Control self-assessments**

IT Assurance

Technical knowledge and experience to align the company's IT control environment with the organization's overall control environment.

- Data security audits, including ACF2, RACF, and TSS
- System controls review
- System development participation
- Network security assessments
- Vulnerability assessment and penetration testing
- Disaster recovery planning

Risk Advisory and Risk Management

Experience to identify the risks your organization faces, utilizing a top-down risk-based approach.

- Enterprise risk assessments
- Manufacturing process
- Logistics and supply
- Remote sites
- Forecasting
- Credit risk management
- Financial reporting process audits
- Treasury risk management
- Control self-assessments

Sarbanes-Oxley

Experienced people using tested tools, methodologies, established frameworks and best practices to help your organization successfully comply with the Sarbanes-Oxley Act.

- Section 302 and 404 certification
- Regulatory compliance
- SOX software selection, implementation and maintenance
- COSO and COBIT expertise
- PCAOB AS5 and SEC Internal Control Guidance interpretation

Governance and Compliance

Proven and consistent approach to ensure compliance with key regulatory and contractual requirements.

- Health Insurance Portability and Accountability Act (HIPAA)
- Anti-Money Laundering (AML) and Bankers Secrecy Act (BSA)
- Vendor, Royalty or Construction Contracts, etc.
- SAS 70 Assistance

About CRC

CRC is an accounting and corporate finance consulting firm. Our firm's Partners and consultants are former Chief Financial Officers, Chief Audit Executives, and Senior Finance and Accounting professionals with a wide range of experience with Fortune 500, Big Four accounting firms and middle-market companies. CRC services clients in a variety of industries including life sciences, technology, telecommunications, manufacturing, financial services, entertainment, education, retail and non-profit.



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